

01/18/02  
JC698 U.S. PRO

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PTO/SB/05 (08-00)

Approved for use through 10/31/2002 OMB 0651-0032  
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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

- Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
- Applicant claims small entity status.  
See 37 CFR 1.27.
- Specification [Total Pages 524]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets       ]
- Oath or Declaration [Total Pages       ]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d))  
U.S. Serial No. 09/684,524 filed October 10, 2000 in 4 counterparts [Total Pages 16]  
(for continuation/divisional with Box 17 completed)
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76.

Attorney Docket No	PZ039P1C1
First Inventor	Ruben et al.
Title	62 Human Secreted Proteins
Express Mail Label No.	

**ADDRESS TO:** Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies), or
    - ii.  paper [Total Sheets 205]
  - c.  Statement verifying identity of above copies (Request Under 37 1.821(e))

### ACCOMPANYING APPLICATION PARTS

9.  Copy of Assignment Papers from U.S. Serial No. 09/684,524 filed October 10, 2000 in 4 counterparts [Total Pages 10] (Recordation of Assignment and Assignment documents)
10.  37 CFR 3.73(b) Statement  Power of Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement/ Form PTO/SB/08  Copies of Citations
13.  Preliminary Amendment with Version With Markings to Show Changes Made
14.  Return Receipt Postcard (MPEP 503)  
(should be specifically itemized)
15.  Certified copy of Priority Document(if foreign priority is claimed)
16.  Other. Serial Number Postcard

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.

Continuation  Divisional  Continuation-in-Part (CIP)  
Prior application information Examiner Marschel, Ardm of prior application No 09/684,524 filed October 10, 2000  
Group/Art Unit 1631

For CONTINUATION OR DIVISIONAL APPS only The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

### 18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label 22195 or  Correspondence address below

NAME			
ADDRESS			
CITY	STATE		ZIP CODE
COUNTRY	TELEPHONE		FAX
NAME (Print/Type)	Registration No (Attorney/Agent) <u>46,903</u>		
SIGNATURE	<u>Janet M. Martineau</u> Date <u>January 18, 2002</u>		

Burden Hour Statement - This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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C698 U.S. PTO  
01/18/02

# FEE TRANSMITTAL for FY 2002

(Substitute form)

Patent fees are subject to annual revision.

Total amount of payment

\$896.00

*Complete if Known*

Application Number	To Be Assigned
Filing Date	January 18, 2002
First Named Inventor	Ruben et al.
Examiner Name	To Be Assigned
Group Art Unit	To Be Assigned
Attorney Docket Number	PZ038P1C1

METHOD OF PAYMENT				FEE CALCULATION (continued)			
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to				<b>3. ADDITIONAL FEES</b>			
Deposit Account Number <b>08-3425</b> Deposit Account Name <b>Human Genome Sciences, Inc.</b>				Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17  <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27				105	130	205	65 Surcharge - late filing fee or oath
				127	50	227	25 Surcharge - late provisional filing fee or cover sheet
				139	130	139	130 Non-English specification
				142	1,280	242	640 Utility issue fee (or reissue)
				195	300	195	300 Publication fee for early, voluntary, or normal publication
				179	740	279	370 Request for Continued Examination (RCE)
				098	130	098	130 Processing fee, except in provisional applications
				126	180	126	180 Submission of Information Disclosure Statement
				123	50	123	50 Processing fee for provisional applications
				146	740	246	370 Filing a submission after final rejection (37 CFR 1.129(a))
				149	740	249	370 For each additional invention to be examined (37 CFR 1.129(b))
				145	100	145	100 Certificate of correction
				147	2,520	147	2,520 Request for ex parte reexamination
				099	8,800	099	8,800 Request for inter partes reexamination
				148	110	248	55 Statutory disclaimer
				115	110	215	55 Extension for reply within first month
				116	400	216	200 Extension for reply within second month
				117	920	217	460 Extension for reply within third month
				118	1,440	218	720 Extension for reply within fourth month
				128	1,960	228	980 Extension for reply within fifth month
				119	320	219	160 Notice of appeal
				120	320	220	160 Filing a brief in support of an appeal
				121	280	221	140 Request for oral hearing
				122	130	122	130 Petitions to the Commissioner
				140	110	240	55 Petition to revive unavailably abandoned application
				141	1,280	241	640 Petition to revive unintentionally abandoned application
				091	1,280	091	1,280 Acceptance of an unintentionally delayed claim for priority
				561	3	561	3 Printed copy of patent, regular service
				581	40	481	40 Recording each patent assignment per property (times number of properties)
				Other fee (specify)			
				Other fee (specify)			
				Other fee (specify)			
				* Reduced by Basic Filing Fee Paid <b>\$0.00</b>			
				<b>SUBTOTAL (3)</b> <b>\$0.00</b>			
<i>* or number previously paid, if greater; For Reissues, see above</i>							

## Submitted By

Complete (if applicable)

Name (Print/Type) Janet M. Martineau

Signature:

*Janet M. Martineau*

Registration No.: 46,903

Telephone 301 315-2723

Date: *January 18, 2002*

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Ruben et al.

Application Number: to be assigned

Group Art Unit: to be assigned

Filed: herewith

Examiner: to be assigned

Title: 27 Human Secreted Proteins

Attny. Docket No. PZ038P1C1

**REQUEST UNDER 37 C.F.R. § 1.821(e)**

Commissioner for Patents  
Washington, D.C. 20231

Sir :

The above-identified patent application is a continuation of application Serial No. 09/661,453 filed September 13, 2000. The sequence listing of the instant application is identical to the sequence content of the computer readable sequence listing filed in connection with application Serial No. 09/661,453, on September 13, 2000.

In accordance with 37 C.F.R. § 1.821(e), please use the computer readable form filed in connection with application Serial No. 09/661,453 as the computer readable form for the instant application. It is understood that the Patent and Trademark Office will make the necessary change in application number and filing date for the computer readable form that will be used for the instant application. A paper copy of the Sequence Listing is included in the specification of the instant application filed herewith. Applicants hereby certify that the paper copy of the Sequence Listing filed herewith and the computer readable sequence listing filed on September 13, 2000 in connection with application Serial No. 09/661,453 are the same and do not include new matter.

Respectfully submitted

Date: January 15, 2002

  
Janet M. Martineau (Reg. No. 46,903)  
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